

REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
32307-200180	CLASS	SUBCLASS		

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This is a request for filing a XX continuation ___ divisional application under 37 CFR 1.53(b) of pending prior international application Number PCT/JP02/07128, filed on July 12, 2002 entitled MATRIX SWITCH OF OPTICAL WAVEGUIDE TYPE AND METHOD FOR MANUFACTURING THE SAME, which designated the United States.

Note: 37 CFR 1.53 (d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16 (c))	9-20 =	0	X\$ 18=	\$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16 (b))	2-3 =	0	X\$ 86=	0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16 (d)) 0			+\$ 0=	+ 0.00
				BASIC FEE (37 CFR 1.16 (a))	\$770.00
				Total of above Calculations =	\$770.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				\$.00
				Total =	\$770.00

- XX Enclosed is the Continuation Application mentioned above (20 total pages of specification, claims and abstract and 6 sheets of Formal Drawings (Figs. 1-6).
- XX Signed inventor declaration.
- XX Copy of International Application PCT/JP02/07128 filed July 12, 2002.
- ___ Applicant claims small entity status. See 37 CFR 1.27.
- ___ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. _____. A Duplicate copy of this sheet is enclosed.
- XX A check in the amount of \$810 is enclosed.
- ___ Payment by credit card. Form PTO-2038 is attached.
- XX Application Data sheet is enclosed. See 37 CFR 1.76.

22581 U.S. PTO
10/755388

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9. ☐ Amend the specification by inserting before the first line the sentence: "This application is a XX continuation of international application number _____, filed _____ (status, _____ abandoned, _____ pending, etc.)."
10. ☐ A declaration under 37 CFR 1.63 is enclosed.
11. XX Priority of foreign application number 2001-213982, filed on July 13, 2001 in Japan is claimed under 35 U.S.C. 119(a)-(d).
12. ☐ A preliminary amendment is enclosed.
13. Also enclosed: An Information Disclosure Statement and Assignment with Certified Coversheet.
14. ☐ The filing fee will follow in response to the Missing Parts Notice.
15. XX Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record).
Venable LLP, P.O. Box 34385 Washington, DC 20043-9998 or Customer Number 26694.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

January 13, 2004

Date


Signature

Michael A. Sartori, Ph.D. (Reg No. 41,289)
Typed or printed name

- ☐ Inventor(s)/Applicant(s)
- ☐ Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.7(b) is enclosed. (Form PTO/SB/96).
- XX Attorney or agent of record
- ☐ Filed under 37 CFR 1.34(a)
 Registration number if acting under 37 CFR 1.34(a). _____

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

<input checked="" type="checkbox"/> *Total of <u>1</u> forms are submitted.

**FEE TRANSMITTAL
for FY 2004**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 810**Complete if Known**

Application Number	NOT YET ASSIGNED
Filing Date	January 13, 2004
First Named Inventor	Tatsuo IZAWA
Examiner Name	NOT YET ASSIGNED
Group / Art Unit	NOT YET ASSIGNED
Attorney Docket No.	32307-200180

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

22-0261

Deposit
Account
Name

VENABLE LLP

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
-
- Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	770
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$ 770)

2. EXTRA CLAIM FEES

	Total Claims	Extra Claims	Fee from below	Fee Paid
	9	-20 ** = 0	X 18 = 0	
Independent Claims	2	-3 ** = 0	X 86 = 0	
Multiple Dependent			X = 0	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2204	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2215	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2010	2255	1005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	25403	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1808	180	1808	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

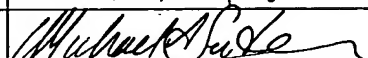
Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Michael A. Sartori, Ph.D.	Registration No. Attorney/Agent	41,289	Telephone	(202) 344-4000
Signature				Date	January 13, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.